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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/462,437	05/16/2000	MANABU OUMI	S004-3848	5091

7590 08/07/2003
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NEW YORK, NY 10004

EXAMINER

LE, KIMLIEN T

ART UNIT	PAPER NUMBER
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2653

DATE MAILED: 08/07/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/462,437

Applicant(s)

OUMI ET AL.

Examiner

Kimlien T Le

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 12 June 2003.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1, 19-20 and 32-40 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1, 19, 20 and 32-40 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s). _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Claim Rejections - 35 USC § 103

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1, 19-20 and 32-40 are rejected under 35 U.S.C. 103(a) as being unpatentable over Schaenzer et al(U.S. Patent 5,831,797) in view of Tanaka(U.S. Patent 5,808,973)

Regarding claim 1, Schaenzer et al shows a near-field optical head, comprising: a slider (20) supported by a suspension arm (22) providing a load weight and obtaining a floating force due to a relative motion of the slider with respect to a recording medium (12) so that a gap is produced between a bottom surface of the slider and a surface of the recording medium due to a balance between the load weight and the floating force (Fig. 1). Schaenzer et al does not show a probe comprising a microscopic aperture formed in the bottom surface of the slider for producing a near-field light or converting a near-field light produced on a surface of the recording medium into a propagation light without a lens being disposed proximate the microscopic aperture for producing or converting the near-field light. However, Tanaka teaches a probe (34) without a lens comprising a microscopic aperture (33) (column 6, lines 7-26). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to provide Schaenzer et al with the probe as taught by Tanaka in lieu of the lens structure shown by Schaenzer et al. The rationale is as follows: one of ordinary skill in the art at the time of the invention would have

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been motivated to provide Schaenzer et al with the probe as taught by Tanaka, in lieu of the lens structure shown by Schaenzer et al, since they are art recognized equivalents for near-field recording with the advantage that the system of Tanaka does not require the lens.

Regarding claim 19, Schaenzer et al further shows a near-field optical head, comprising: a support member (26) mounted to undergo relative movement with respect to a sample (Fig. 1).

Regarding claim 20, Schaenzer et al shows the head according to claim 19, wherein the support member comprises a slider (20) supported by a suspension arm (24) for providing a load weight and producing a floating force in response to relative motion thereof with respect to the sample (12) so that a gap is formed between the probe and the sample due to a balance between the load weight and the floating force (Fig. 1).

Regarding claim 32, Tanaka shows the probe having a tapered projection (34) mounted to the support member and having a sharpened tip (33) protruding from the bottom surface of the support member (Fig. 2).

Regarding claim 33, Tanaka shows the probe that comprises a through-hole (33) formed in the slider and terminating in the microscopic aperture (Fig. 2).

Regarding claim 34, Tanaka shows the probe comprising a light shielding layer (32) covering the through-hole except for the microscopic aperture (Fig. 2).

Regarding claim 35, Tanaka shows the probe that comprises a light source disposed on a top surface of the slider above the through-hole so that a light path is defined by the light source, the through-hole, and the microscopic aperture (Fig. 2).

Regarding claim 36, Tanaka shows a probe that comprises a through-hole (33) formed in the slider and terminating in the microscopic aperture; a light shielding layer (32) covering the

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through-hole except for the microscopic aperture; and a light source (36) mounted on a top surface of the slider above the through hole so that a light path is defined by the light source, the through-hole, and the microscopic aperture (Fig. 2).

Regarding claim 37, Tanaka shows the probe comprises a through-hole (33) formed in the support member and terminating in the microscopic aperture (Fig. 2).

Regarding claim 38, Tanaka shows the probe that comprises a light shielding layer (32) covering the through-hole except for the microscopic aperture (Fig. 2).

Regarding claim 39, Tanaka shows the probe that comprises a light source (36) disposed on a top surface of the support member above the through hole so that a light path is defined by the light source, the through-hole, and the microscopic aperture (Fig. 2).

Regarding claim 40, Tanaka shows the probe that comprises a through-hole (33) formed in the support member and terminating in the microscopic aperture; a light shielding layer (32) covering the through-hole except for the microscopic aperture; and a light source (36) disposed on a top surface of the support member above the through hole so that a light path is defined by the light source, the through-hole, and the microscopic aperture (Fig. 2).

Point of Contact

2. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kimlien T Le whose telephone number is 703 305 3498. The examiner can normally be reached on M-F 8a.m-5p.m.

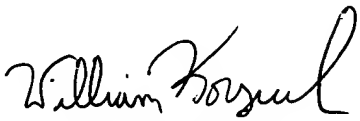
If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, William Korzuch can be reached on 703 305 6137. The fax phone numbers for the

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organization where this application or proceeding is assigned are 703 872 9314 for regular communications and 703 872 9314 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703 305 3900.

Kimlien Le
August 5, 2003


WILLIAM KORZUCH
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2600